03/08/01

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 774-010234-US(PAR)

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application Washington, D.C. 20231

| QUANTUM WELL INTERMIXING | | | | | | | |
|--------------------------|---------------------------------------------------------------|-------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--|--|--|
| nd inve | ente | d by | : | 905 | | | |
| Siew | Во | on O | OI, Yee Loy LAM, Yuen Chuen CHAN, Yan ZHOU, Geok Ing NG | 10979 10979 | | | |
| | nti | nuat | ATION APPLICATION, check appropriate box and supply the requisite information: ion Divisional Continuation-in-part (CIP) of prior application No.: | | | | |
| ☐ Co | | | ion 🔲 Divisional 🗀 Continuation-in-part (CIP) of prior application No.: | | | | |
| Which i ⊡ Co | | | ion 🔲 Divisional 🗀 Continuation-in-part (CIP) of prior application No.: _ | | | | |
| Enclose | ed a | ıre: | | | | | |
| | | | Application Elements | | | | |
| 1. [| X | Filir | ng fee as calculated and transmitted as described below | | | | |
| 2. [| X | Spe | cification having 25 pages and including the following: | | | | |
| | a. | X | Descriptive Title of the Invention | | | | |
| | b. 🗷 Cross References to Related Applications (if applicable) | | | | | | |
| | C. | | Statement Regarding Federally-sponsored Research/Development (if applicable) | | | | |
| | d. | | Reference to Microfiche Appendix (if applicable) | | | | |
| | e. | X | Background of the Invention | | | | |
| | f. | X | Brief Summary of the Invention | | | | |
| | g. | X | Brief Description of the Drawings (if drawings filed) | | | | |
| | h. | X | Detailed Description | | | | |
| | i. | X | Claim(s) as Classified Below | | | | |
| | j. | X | Abstract of the Disclosure | | | | |

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 774-010234-US(PAR)

Total Pages in this Submission 4

Application Elements (Continued)

| 3. | X | Drawing(s) (when necessary as prescribed by 35 USC 113) |
|--------------|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | a. | ☐ Formal Number of Sheets |
| | b. | ☑ Informal Number of Sheets |
| 4. | | Oath or Declaration |
| | a. | ☐ Newly executed (original or copy) ☐ Unexecuted |
| | b. | Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only) |
| | C. | ☐ With Power of Attorney ☐ Without Power of Attorney |
| | d. | DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). |
| 5. | | Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |
| . 6 . | | Computer Program in Microfiche (Appendix) |
| 7. | | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included) |
| | a. | ☐ Paper Copy |
| | b. | ☐ Computer Readable Copy (identical to computer copy) |
| | C. | ☐ Statement Verifying Identical Paper and Computer Readable Copy |
| | | Accompanying Application Parts |
| 8. | | Assignment Papers (cover sheet & document(s)) |
| 9. | | 37 CFR 3.73(B) Statement (when there is an assignee) |
| 10. | | English Translation Document (if applicable) |
| 11. | × | Information Disclosure Statement/PTO-1449 |
| 12. | X | Preliminary Amendment |
| 13. | X | Acknowledgment postcard |
| 14. | X | Certificate of Mailing |
| | | ☐ First Class ☑ Express Mail (Specify Label No.): EL627430226US |

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 774-010234-US(PAR)

Total Pages in this Submission

Accompanying Application Parts (Continued)

| 15. | | Certified Copy of Priority Document(s) (if foreign priority is claimed) |
|-----|---|-------------------------------------------------------------------------|
| 16. | X | Additional Enclosures (please identify below): |
| | | Applicants claims priority from : |
| | | PCT/SG00/00039 filed 8 March 2000 |
| | | PCT/SG00/00038 filed 8 March 2000 |
| | | Singapore Patent Application No. 2000 04786-0 filed 11 September 2000 |
| | | Singapore Patent Application No. 2000 04787-8 filed 11 September 2000 |
| | | |

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

17. Dursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 774-010234-US(PAR)

Total Pages in this Submission
4

Fee Calculation and Transmittal

| | | CLAIMS A | AS FILED | | | | | |
|-------------------------------------------------------------------------|-----------------------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------------------------------|----------|---------------------|--------|--|--|
| For | #Filed | #Allowed | #Extra | | Rate | Fee | | |
| Total Claims | 16 | - 20 = | 0 | x | \$18.00 | \$0.00 | | |
| ndep. Claims | 1 | - 3 = | 0 | х | \$80.00 | \$0.00 | | |
| Multiple Dependent C | laims (check i | f applicable) | | | | \$0.00 | | |
| BASIC FEE | | | | | | | | |
| OTHER FEE (specify | purpose) | | | | | \$0.00 | | |
| TOTAL FILING FEE | | | | | | | | |
| ☑ Credit any☑ Charge ar☐ Charge the | r is hereby auth v. A duplicate e amount of overpayment. by additional fili | norized to charge copy of this shee a ng fees required in 37 C.F.R. 1.18 | et is enclosed as filing fee. under 37 C.f | eposit A | Account No. 16-1350 | | | |

Page 4 of 4

CC:

Dated: Flerch 01

Customer No.: 2512

(203) 259-1800

Perman & Green, LLP

Clarence A. Green, Reg. No.: 24,622

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 774-010234-US(PAR)

Total Pages in this Submission
4

Fee Calculation and Transmittal

| CLAIMS AS FILED | | | | | | | |
|-------------------------------------------------------------------------------------|----------------------------|-------------------------------------------------------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|---------------------------------|--|--|
| #Filed | #Allowed | #Extra | | Rate | Fee | | |
| 16 | - 20 = | 0 | x | \$18.00 | \$0.00 | | |
| 1 | - 3 = | 0 | × | \$80.00 | \$0.00 | | |
| ndep. Claims 1 - 3 = 0 × \$80.00 . Multiple Dependent Claims (check if applicable) | | | | | | | |
| BASIC FEE | | | | | | | |
| OTHER FEE (specify purpose) | | | | | | | |
| TOTAL FILING FEE | | | | | | | |
| | 16 1 t Claims (check | #Filed #Allowed 16 - 20 = 1 - 3 = Claims (check if applicable) | #Filed #Allowed #Extra 16 -20 = 0 1 - 3 = 0 1 Claims (check if applicable) | #Filed #Allowed #Extra 16 -20 = 0 x 1 - 3 = 0 x 1 Claims (check if applicable) | #Filed #Allowed #Extra Rate 16 | | |

A check in the amount of

\$710.00

to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No. 16-1350 as described below. A duplicate copy of this sheet is enclosed.

☐ Charge the amount of

as filing fee.

■ Credit any overpayment.

Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance

pursuant to 37 C.F.R. 1.311(b).

Dated: Flunch 01

Clarence A. Green, Reg. No.: 24,622

Perman & Green, LLP

(203) 259-1800

Customer No.: 2512

CC: